JC06 Rec'd PCT/PTO 2.8 SEP 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 3000-34 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/EP2004/003225 28 March 2003 26 March 2004 TITLE OF INVENTION DEVICE AND METHOD FOR SEARCHING AND PROCESSING DATA IN A MASS STORAGE DEVICE APPLICANT(S) FOR DO/EO/US SIEBEN, Ulrich; SINNHOFER, Werner Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

Items 11 to 20 below concern document(s) or information included:

10. X

11. X

An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. 🔲	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. X	A preliminary amendment.
14.	An Application Data Sheet under 37 CFR 1.76.
15.	A substitute specification.
16. 🗌	A power of attorney and/or change of address letter.
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20 X	Other items or information: Certificate of Express Mail Verification of Translation

An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICAT	0 / 5 5	ATTORNEY'S DOCKET NUMBER 3000-34						
The folia	owing fees have b	CALCULATIONS	PTO USE ONLY					
I —	c national fee	\$ 300.00	1					
If International property PCT Article 33	nination fee reliminary examina 3(1)-(4)	\$ 200.00						
Search fee (37 C Internatio International Sea	rch fee IFR 1.445(a)(2)) h nal Searching Aut Irch Report prepar ns	\$ 400.00						
	TOTAL OF 21, 22		100 100 1		\$ 900.00			
sequence lis	e for specification sting or computer 250 for each addit							
Total Sheets			h additional 50 or fraction up to a whole number)	RATE				
- 100 =	/50 =			× \$250	\$			
Surcharge of \$13 claimed priority d	30.00 for furnishing late (37 CFR 1.49)	\$ 130.00						
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		22 - 20 =	2	× \$ 50	\$ 100.00			
Independent clair	ms	3 - 3 =	0 .	× \$200	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$			
		\$ 100.00						
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.								
		\$ 1,130.00						
	f \$130.00 for furni late (37 CFR 1.49	\$						
		\$						
	the enclosed ass cover sheet (37	\$						
			TOTAL	EES ENCLOSED =	\$ 1,130.00			
		Amount to be refunded:	\$					
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a. \boxed{X} A check in the amount of \$ $1.130.00$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-3381</u> . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Patrick J. O'S		1 Wifec						
1	z & Kosakowsł							
	treet, Suite 91	Shea						
Springfield, MA 01115 Tel.: 413-731-3100, x102 Springfield, MA 01115 35,305								
Tei.: 413-731	1-5100, X102	ON NUMBER						

Docket No: 3000-34

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Sieben et al.

GROUP:

Not yet assigned

INTERNATIONAL

EXAMINER: Not yet assigned

APPLN. NO.:

PCT/EP2004/003225

SERIAL NO:

Not yet assigned

INTERNATIONAL

FILING DATE:

26 March 2004

FOR:

DEVICE AND METHOD FOR SEARCHING AND PROCESSING OF

DATA IN A MASS STORAGE

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

I hereby certify that the attached Transmittal Letter (PTO-1390) and the items referred to as being enclosed therewith are being deposited with the United States Postal Service on this date <u>September 28, 2005</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EV684062388US</u> addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sarah L. Henry

10/551208

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Isabel Leonard
Medical and Technical Translation
5 Hearn Street, Watertown, MA 02472-1502, USA
Phone 617-661-3273 Fax 253-595-9305
e-mail: isabelleonard@comcast.net

File 3000-34

VERIFICATION OF TRANSLATION

I hereby declare and state that I am knowledgeable of each of the German and English languages and that I made and reviewed the attached translation of the International Preliminary Examination Report on PCT/EP2004/003225 from the German language into the English language, and that I believe my attached translation to be accurate, true, and correct to the best of my knowledge and ability.

Date: September 23, 2005

Isabel A. Leonard

Translator